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| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) | Attorney Docket No. | 428 |
| | First Inventor or Application Identifier | Jon H. Henderson |
| | Title | ROBUST TRANSDUCER FOR VISCOELASTIC MEASUREMENT |
| | Express Mail Label No. | EM281732627 |

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| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 13] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6] | ACCOMPANYING APPLICATION PARTS 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input checked="" type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input checked="" type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other: |
| 4. Oath or Declaration [Total Pages 1] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | |
| 5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | |
| 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 08, 863, 107 Prior application information: Examiner _____ Group / Art Unit: 2212 | |

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| | | | |
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| Name (Print/Type) | William E. Hein | Registration No. (Attorney/Agent) | 26,465 |
| Signature | | Date | 4/20/99 |

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE
ART UNIT 2212

Examiner J. David Wiggins

Jon H. Henderson

CASE 428

SERIAL NO.

FILED April 20, 1999

SUBJECT ROBUST TRANSDUCER FOR VISCOELASTIC MEASUREMENT

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SIR:

PRELIMINARY AMENDMENT

Before calculating the filing fee, please amend the above-identified
divisional patent application by cancelling claims 5 and 6, as originally
filed in parent application Serial No. 08/863,107.

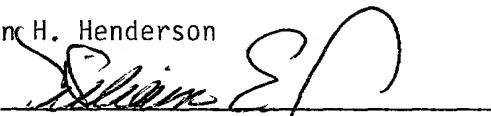
Remarks

Claims 5 and 6, allowed in the parent application, have been cancelled.
Claims 1-4 and 7-10, cancelled without prejudice in the parent application,
remain pending in the present divisional application.

Respectfully submitted,

Jon H. Henderson

By



William E. Hein
Patent Attorney #26,465

April 20, 1999
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ROBUST TRANSDUCER FOR VISCOELASTIC MEASUREMENT

Reference to Related Patents

The invention described herein is related to U.S. Patents No. 5,016,469 and 5,138,872, the subject matter of both of which is incorporated herein by reference.

Background and Summary of the Invention

This invention relates generally to instrumentation for making viscoelastic measurements and, more particularly, to an improved vibratory viscoelastic transducer for measuring the viscoelastic characteristics of fluids and gels.

Vibratory viscosity and viscoelastic analyzers are well known in the prior art. These analyzers typically incorporate a mechanical probe member that is driven to move in an oscillating or periodic manner. They include some means for imparting motion to the probe member and means for monitoring the probe displacement or motion. Most designs incorporate electronic means for driving the mechanical probe and monitoring the probe motion. The moving probe is immersed within a fluid or gel being tested. The motion characteristics of the moving probe are affected by the viscous and viscoelastic properties of the material being tested. The change in motion characteristics of the probe in response to a test sample is monitored and processed by the analyzer to determine the viscoelastic properties of the test sample.

The components of the drive mechanism or circuit, the mechanical probe, and the motion detection mechanism collectively comprise a transducer. The transducer has response characteristics that relate the input drive signal to the output motion signal. The response of the transducer is dependent on its physical design and the viscoelastic properties of the material being tested.

The transducer characteristics can be represented using mechanical or electrical performance properties. U.S. Patent No. 4,341,111 to Husar employs mechanical displacement versus frequency to characterize transducer behavior. This type of characterization is illustrated in Figure 6. From the perspective of the electrical design, it is often desirable to characterize the transducer behavior in terms of electrical impedance. Figure 5 illustrates the change in electrical impedance versus frequency for a viscoelastic transducer like that shown in Figures 1 and 2. This type of transducer monitors probe velocity rather than probe displacement. Both displacement and velocity monitoring transducers are nonlinear with respect to drive frequency and typically exhibit a pronounced peak at the natural resonant frequency. This resonant frequency varies in response to the viscoelastic properties of the liquid or gel being measured. These peaks are present in the examples illustrated in Figures 5 and 6.

Viscoelastic transducers vary widely in their design. One variation relates to the direction of probe motion. Prior art designs have incorporated axial, lateral, orbital or radial probe motion within the transducer. Exemplary of prior art transducers that employ an axially vibrating probe are those described in U.S. Patents Nos. 3,587,295 and 3,741,002 to Simons, as well as U.S. Patent No. 4,026,671 to Simons et al. U.S. Patent No. 4,312,217 to Hartert and U.S. Patent No. 4,341,111 to Husar teach the use of an elastic rod driven to produce either lateral or orbital motion. U.S. Patent No. 4,488,427 to Matusik et al. teaches rotational or radial mechanical oscillation. These prior art transducers incorporate a single flexible member that deforms to produce the desired mechanical motion. The axial vibrating transducer taught by the Simons and Simons et al. patents incorporates a diaphragm. The lateral or orbital motion taught by Hartert and Husar is

achieved by employing an elastic rod driven so as to bend the rod in one direction for lateral motion or in two directions for orbital motion. The radial motion taught by Mutasik et al. is produced by employing an elastic cylindrical tube driven with a torsion force producing radial deflection.

Most vibratory transducers employ separate drive and pickup coils. However, the separate coils are not required. The transducer taught by Simons et al. incorporates a single coil used simultaneously for driving the transducer and for monitoring mechanical motion.

Viscosity or viscoelastic analyzers monitor the transducer response using suitable circuitry and possibly computational techniques to determine the viscous or viscoelastic properties of the material being tested. The circuitry for driving vibratory transducers is well known in the art and typically consist of a driving circuit to produce the oscillating mechanical motion and a monitoring circuit to monitor the mechanical motion. Additional circuitry, including means to regulate mechanical motion, monitor energy consumption, or condition signals for display is also taught in the prior art. Early designs drive the transducer at fixed frequencies. Later designs typically drive the transducer at the resonant frequency of the transducer immersed within the material being tested. In the later devices, the viscoelastic properties of the material under test are determined by analyzing only the resonant point of the vibrating transducer. The attenuation of the resonant peak characterizes the viscous property of the test sample, while the change in frequency characterizes its elastic property.

The accuracy of viscosity and viscoelastic measurement devices incorporating vibratory transducers is limited by the performance characteristics of the vibratory transducer. Prior art vibratory transducers are prone to poor manufacturing reproducibility, poor oscillation

characteristics, temperature drift, long term drift, and humidity drift. Additionally, the durability of these transducers is lacking. Damage from operator handling can cause either transducer drift or failure. In some designs, the transducer moving coil can be pushed against a magnet or magnet pole, thereby potentially damaging the coil. In other designs, the transducer alignment can be compromised by minor operator mishandling, thereby causing transducer drift or impeding transducer oscillation.

None of the prior art transducers have specifically addressed the need for protecting the oscillating mechanical component from excessive applied force. While the transducer of Simons oscillated axially, the operator could cause lateral or excessive axial movement of the diaphragm while mounting or removing a disposable probe. This excessive movement could result in the coil contacting either the magnet or magnet pole and thus damaging the coil. Approximately 95% of all service requirements for this design have involved damaged coils. Additionally, the transducer characteristics were prone to change if the diaphragm was stressed by excessive axial movement.

Summarizing the prior art, the oscillating transducer taught in U.S. Patent No. 4,341,111 to Husar relies on lateral or orbital movement of an elastic rod. However, this reference does not address protecting the elastic rod from excessive deformation. The transducer taught in U.S. Patent No. 4,488,427 to Matusik et al. involves a tube that is subjected to rotational deflection and in which alignment of the transducer requires that the tube be prevented from deflecting laterally. However, this reference does not address protecting the rotational tube from undesired lateral deflection. Similarly, the oscillating transducers taught in U.S. Patents 4,154,093 and 4,869,098 have no provision for mechanical protection against excessive transducer displacement.

It is therefore a principal object of the present invention to provide a vibratory transducer that minimizes operator handling damage by providing mechanical stops that limit the elastic deformation of the mechanical probe and embody a mechanical probe design that is substantially rigid except in the desired elastic direction of motion.

This and other objects are accomplished in accordance with the illustrated preferred embodiment of the present invention by providing a circular spring assembly coaxially mounted to a mechanical probe to restrict motion of the probe member in all directions except the desired direction along the axis of the probe and by providing mechanical stops for limiting the range of desired motion along the axis of the probe.

Brief Description of the Drawings

Figure 1 is an overall pictorial diagram of a viscoelastic transducer constructed in accordance with the present invention.

Figure 2 is a diagram in cross section of the viscoelastic transducer of Figure 1.

Figure 3 is an exploded diagram of the viscoelastic transducer of Figures 1 and 2.

Figure 4 is an exploded diagram of the circular spring assembly employed in the viscoelastic transducer of Figures 1-3.

Figure 5 is a graph that relates mechanical probe displacement to drive frequency for the viscoelastic transducer of Figures 1-4.

Figure 6 is a graph that relates mechanical probe velocity to drive frequency for the viscoelastic transducer of Figures 1-4.

Detailed Description of the Preferred Embodiment

The viscoelastic transducer of the present invention may, for example, serve as a replacement for the transducer described in U.S. Patent No. 5,016,469 to Henderson. The electronic circuitry described in that patent may be employed with the viscoelastic transducer of the present invention.

Referring now to Figures 1-4, there are shown a magnet cup 10, a permanent magnet 12, and magnet pole 14, aligned and adhesively assembled to produce a uniform magnetic field across the gap between permanent magnet 12 and magnet pole 14. A probe adapter hub 18 is adhesively attached to both a free-standing coil 16 and an inner ring 30 of a circular spring assembly 20. The outer rim of circular spring assembly 20 is aligned to position coil 20 within the gap between magnet 12 and pole 14 and is adhesively bonded to pole 14. Cover 10 is attached to the outer edge of the spring assembly 20 by means of an adhesive.

A mechanical probe that moves within the transducer of Figures 1-4 consists of a probe adapter hub 18, a coil 16, a disposable probe 24, and inner ring 30 of circular spring assembly 20. With proper alignment during assembly, the mechanical probe can move axially while maintaining clearance across the magnetic gap. Coil 16 is employed for driving the mechanical probe and for detecting probe motion.

The typical characteristic response of the present transducer is illustrated in Figure 5. This transducer can be used with a variety of conventional control circuits, but has been designed specifically for use with the circuitry described in U.S. Patent No. 5,138,872. This circuitry serves to drive the transducer at the resonant frequency of the transducer moving within the test sample. The impedance of the transducer decreases with increasing viscosity. The resonant frequency increases with increasing

elasticity. Figure 5 illustrates the performance of the transducer in air, water, a heavy mineral oil, and a mineral oil gel. The attenuation of the resonant peak characterizes the viscous property of the test sample. The change in frequency characterizes the elastic property of the sample.

Referring now more specifically to Figure 4, it may be seen that circular spring assembly 20 is constructed from two circular springs 26 soldered to brass inner ring 30 and outer ring 28. The two circular springs 26 are constructed from beryllium copper sheet using a conventional photochemical machining process, such as that available through Conard Corporation of Glastonbury, Connecticut. The compliance of the two circular springs 26 may be varied by changing the length, width, or thickness of the spring webs. Materials other than beryllium copper may be used. However, beryllium copper is preferred because of its excellent and stable spring properties. The circular spring assembly 20 is preferably bonded using lead-tin solder, but could be welded, brazed, or glued.

As stated above, circular spring assembly 20 is constructed using two parallel springs 26 to provide lateral rigidity to mechanical probe. Use of a single spring would provide good performance as a viscoelastic transducer, but would not provide lateral rigidity to protect coil 16 from damage during operator handling associated with mounting or removing the disposable test probe. Spring assembly 20 provides rigidity in all directions of movement except axial displacement. More than two circular springs 26 could also be used. However, this would add cost and complexity with only slight improvement in mechanical rigidity to withstand undesired mechanical deflections.

The mechanical design of the present viscoelastic transducer includes physical stops that limit the range of motion of the disposable mechanical

probe 24. Magnet 12 limits inward deflection. Cover 22 limits outward deflection. These physical stops protect circular spring assembly 20 from excessive deformation when disposable probe 24 is mounted or removed. The design allows only about 0.010" of motion from the natural position of the probe adapter hub 18 to either magnet 12 or cover 22. This amount of motion is well within the elastic deflection operating region for circular spring assembly 20. However, these stops are located far beyond the approximate 0.00020" range of motion of the mechanical probe 24 during normal test operation.

In summary, the viscoelastic transducer of the present invention provides a simple, reliable, and accurate transducer for characterizing the viscoelastic properties of liquids and gels. Further, it improves upon the prior art by incorporating features to enhance the durability of the transducer against undesired mechanical stress and to limit mechanical deflection of the transducer to within the elastic operating limits of the spring member. This design also results in allowing spring assembly 20 to be very compliant without sacrificing durability. High spring compliancy is desirable in improving the transducer sensitivity to weak gels.

While the present transducer has been described as an axial movement design, it could just as well be arranged for radial, lateral, orbital or any other type of oscillating motion.

The present transducer has been described as encompassing a single coil for both mechanical drive and motion pickup. However, separate coils could also be employed. Additional coils or other means could also be incorporated to permit additional degrees of movement. An orbital design may utilize multiple coils for drive and multiple coils for pickup. Drive and pickup devices should not be limited to include only coils. Other potential

approaches could include Hall effect, capacitive, piezoelectric, or other forms of electromechanical energy conversion or detection.

Finally, the present transducer has been described as utilizing two flat circular springs to allow desired mechanical motion of the oscillating mechanical components. However, the invention is not limited to designs utilizing flat circular springs. An elastic member or members of many shapes including but not limited to coil springs, elastic tubes, deflecting rods, or wire could provide the elastic component for mechanical oscillation. Additionally, the mechanical oscillation does not require a mechanical elastic member if other mechanical oscillation via mechanical or electrical means is embodied.

Claims

1. A transducer for use in a viscoelastic analyzer of the type in which a mechanical probe member is immersed in a fluid or gel whose viscoelastic characteristics are to be determined, the probe member being driven to impart a desired oscillating motion thereto, the improvement comprising means for restricting motion of the probe member in any direction except the direction of the desired oscillating motion.

2. A transducer as in claim 1 wherein said means for restricting motion further comprises mechanical stop means for limiting deflection of the probe member in the direction of the desired oscillating motion.

3. A transducer as in claim 1 wherein the probe member comprises a disposable component and a non-disposable component.

4. A transducer as in claim 1 wherein the means for restricting motion comprises a circular spring assembly coupled to the probe member for restricting motion of the probe member in all directions except along the axis of the probe member.

5. A transducer as in claim 4 wherein the circular spring assembly comprises a pair of circular springs spaced apart from each other and coaxially coupled at their peripheral edges to a circular ring.

6. A transducer as in claim 5, wherein each of the circular springs comprises a beryllium copper circular ring.

7. A transducer for use in a viscoelastic analyzer of the type in which a mechanical probe member is immersed in a fluid or gel whose viscoelastic characteristics are to be determined, the probe member being driven to impart a desired oscillating motion thereto, the improvement comprising stop means for limiting deflection of the probe member in the direction of the desired oscillating motion.

8. A transducer as in claim 7 wherein the probe member comprises a disposable component and a non-disposable component.

9. A transducer as in claim 7 wherein the stop means comprises a combination of a cover member and a magnet.

10. A transducer as in claim 2 wherein the stop means comprises a combination of a cover member and a magnet.

Abstract of the Disclosure

A transducer for use in a viscoelastic analyzer including means for restricting motion of the probe member of the transducer in all directions except the direction of desired oscillating motion.

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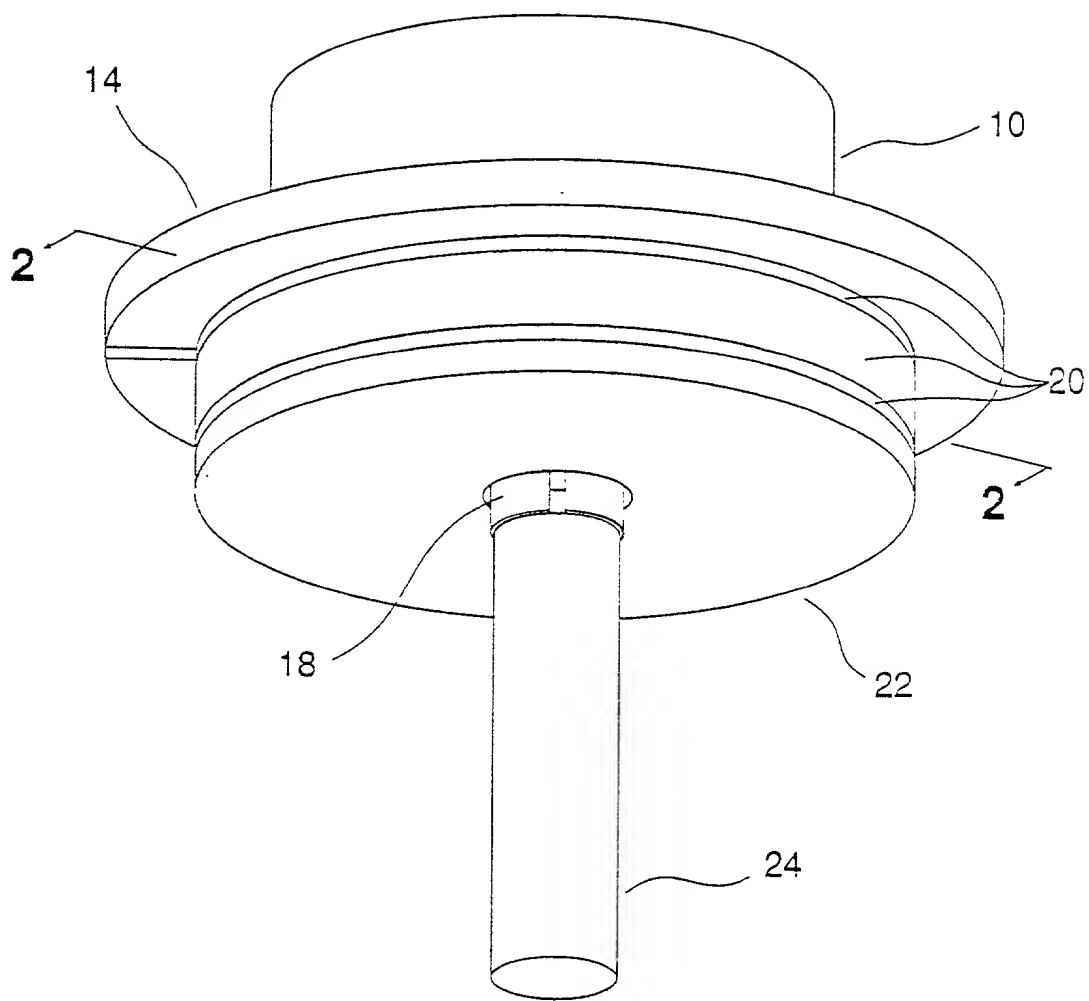


Fig. 1

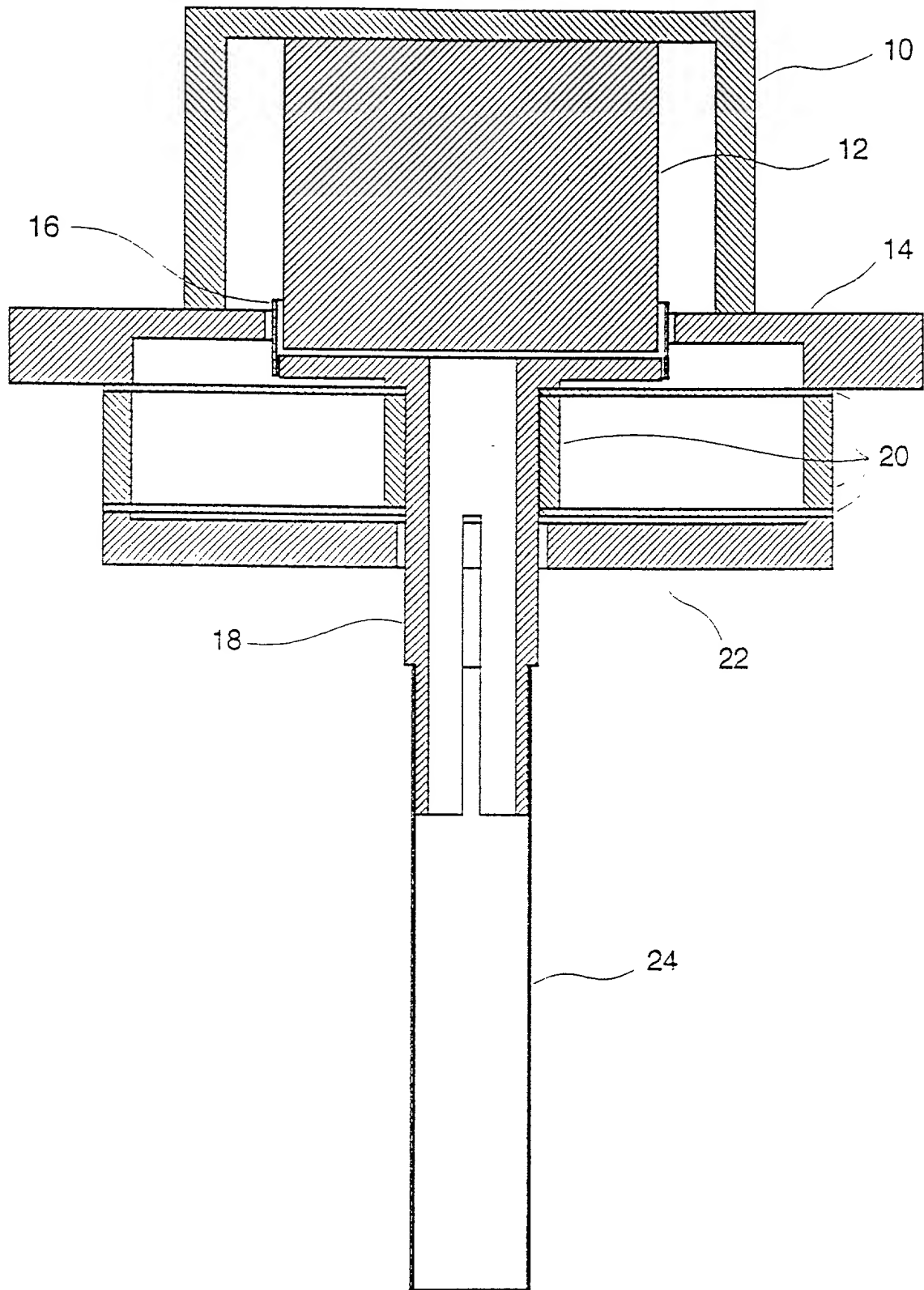


Fig. 2

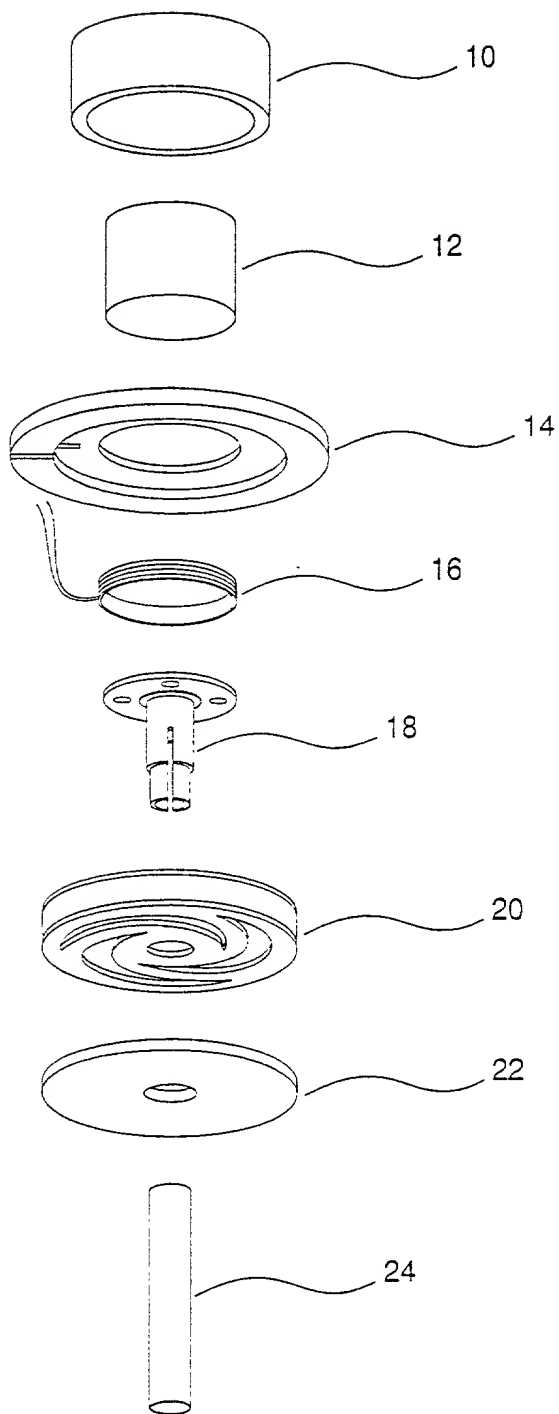


Fig. 3

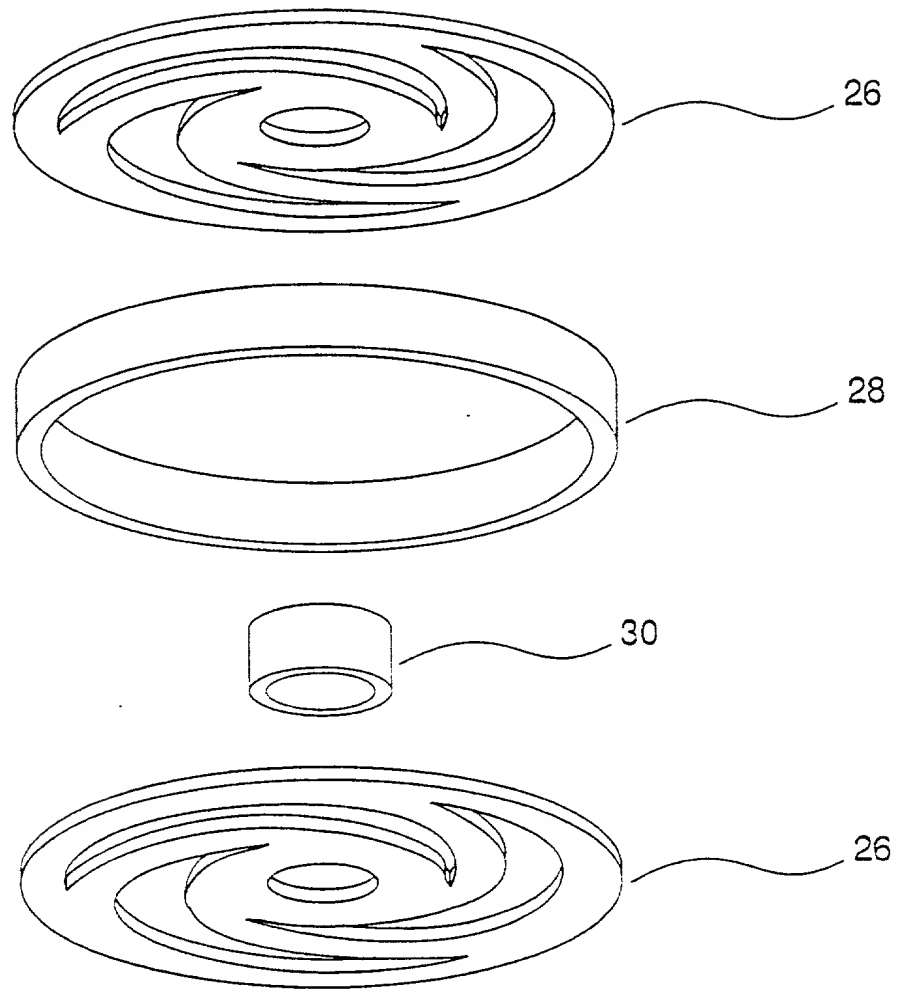


Fig. 4

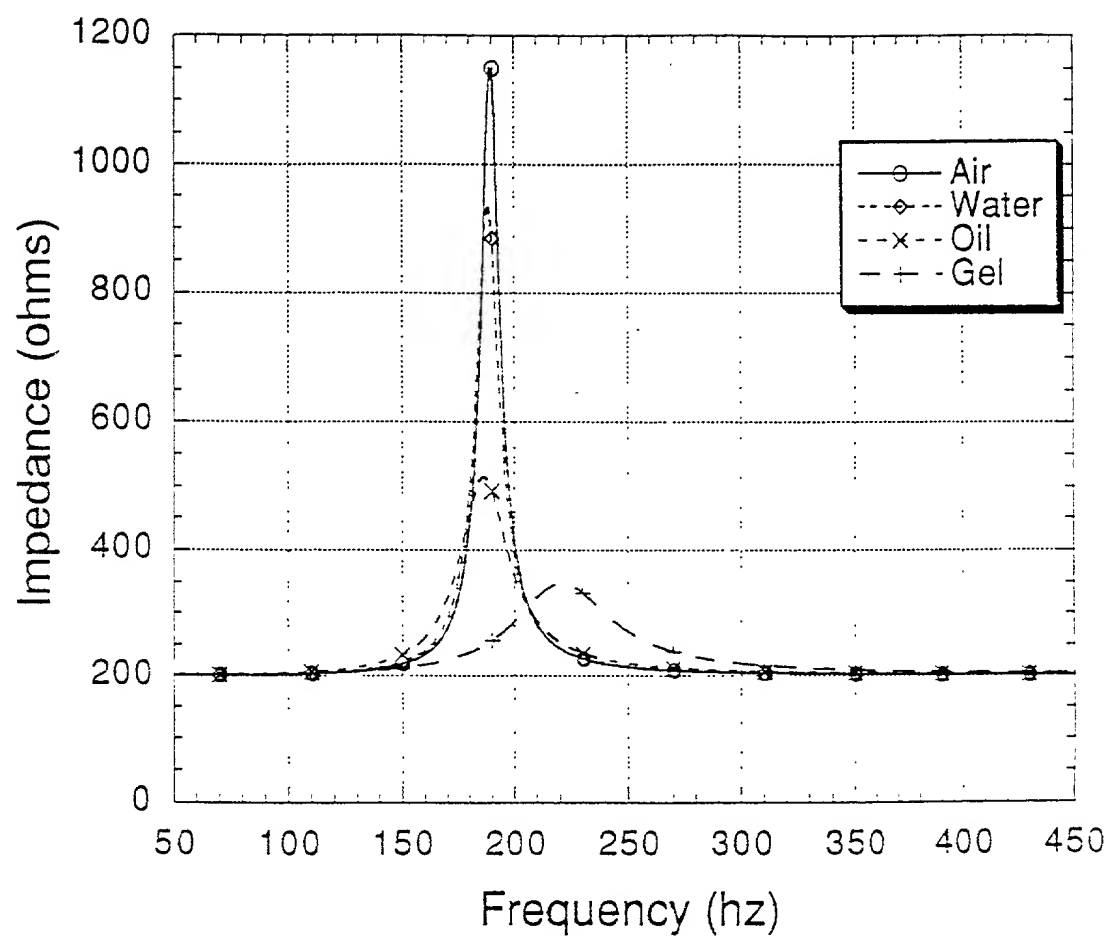


Fig. 5

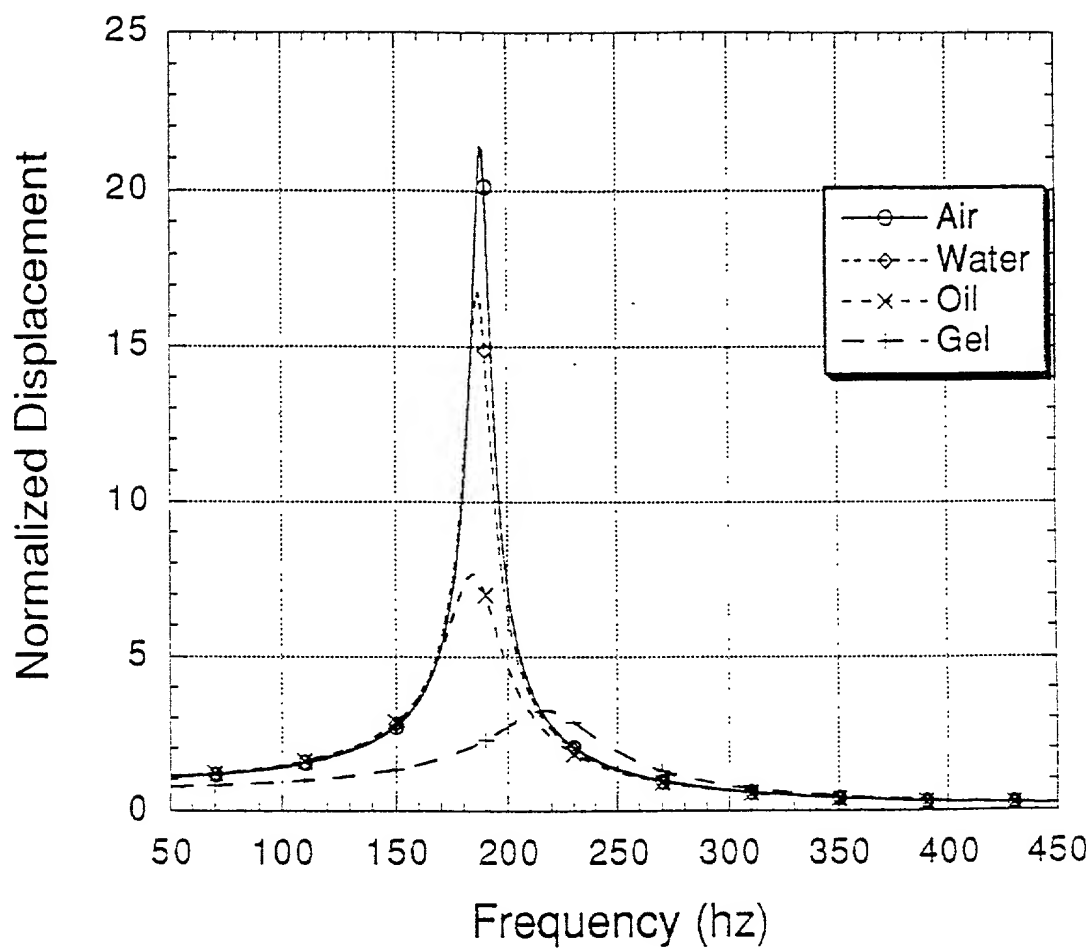


Fig. 6

DECLARATION FOR PATENT APPLICATION

Docket Number (Optional)

390

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ROBUST TRANSDUCER FOR VISCOELASTIC MEASUREMENT, the specification of which

is attached hereto unless the following box is checked:

☐ was filed on _____ as United States Application Number or PCT International Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

☐ Yes ☐ No

(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

(Number) (Country) (Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Address all correspondence to William E. Hein
Attorney at Law
P.O. Box 335
Loveland, Colorado 80539

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Jon H. Henderson

Inventor's signature Jon H. Henderson Date 22 MAY 1997

Residence Wheat Ridge, Colorado Citizenship U.S.A.

Post Office Address 4892 Van Gordon Street #203, Wheat Ridge, Colorado 80033

Full name of second joint inventor, if any (given name, family name) _____

Second Inventor's signature _____ Date _____

Residence _____ Citizenship _____

Post Office Address _____

☐ Additional inventors are being named on separately numbered sheets attached hereto.